

Docket No. A049 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Karen A. Canella  
Group Art Unit : 1642  
Applicant : Jurg Tschopp  
Application No. : 09/520,489  
Confirmation No. : 1565  
Filed : March 8, 2000  
For : APRIL - A NOVEL PROTEIN WITH GROWTH  
EFFECTS

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TECH CENTER 1600/2900

New York, New York  
November 18, 2002

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

TRANSMITTAL LETTER FOR SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information  
Disclosure Statement in the above-identified application.  
This Statement is submitted more than three months from the  
application filing date and after the mailing date of the  
first Office Action on the merits, but before the mailing

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date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.

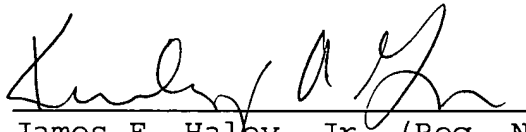
In accordance with 37 C.F.R. § 1.97(c), this Statement is accompanied by:

[ ] a certification as required under 37 C.F.R. § 1.97(e)(1) or § 1.97(e)(2);

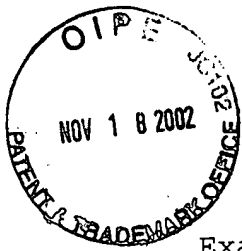
[X] a check in the amount of \$180.00, in payment of the fee as set forth in 37 C.F.R. § 1.17(p).

The Director is hereby authorized to charge payment of any additional fees required in connection with the accompanying Statement, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this Letter is transmitted herewith.

Respectfully submitted,



James F. Halley, Jr. (Reg. No. 27,794)  
Jonathan M. Kaplan (Reg. No. 46,819)  
Attorneys for Applicant  
Kimberley A. Gavin (Reg. No. 51,723)  
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Docket No. A049 US

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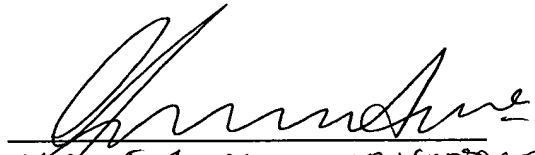
Examiner : Karen Canella  
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For : APRIL- A NOVEL PROTEIN WITH GROWTH EFFECTS

Certificate of Mailing by Express Mail

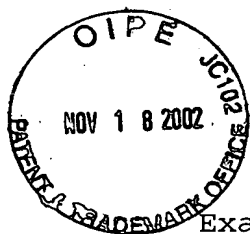
"Express Mail" mailing label number EV133109122US

Date of Deposit: November 18, 2002

I hereby certify that these papers/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

  
CELESTE L. SMITH, NEW COMMISSIONER

Enclosures:  
Transmittal Letter (in duplicate)  
Supplemental Information Disclosure  
Statement Pursuant to 37 C.F.R.  
 §§ 1.56 and 1.97(c)  
Form PTO-1449 (in duplicate with  
enclosures)  
Check: \$180.00



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November 18, 2002

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22207

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56 AND 1.97(c)

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicant  
hereby makes the following references of record in the above-  
identified patent application:

FOREIGN PATENT DOCUMENTS

WO 96/40774      12/19/96      PCT      C07K      14/525

OTHER DOCUMENTS

Adams, M.D. et al., "EST71242 T-cell lymphoma Homo sapiens  
cDNA 5' end, EMBL Database," Heidelberg, Germany, Accession  
no.: AA361896 (1997).

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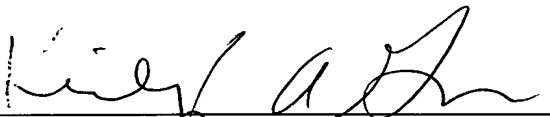
Hahne, M. et al., "APRIL, a new ligand of the Tumor Necrosis Family, stimulates tumor cell growth," J. Exp. Med., 188:1185-1190 (1998).

A copy of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), and the fee required under 37 C.F.R. § 1.17(p) are enclosed herewith.

Applicant requests that these references be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant also requests that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)  
Jonathan M. Kaplan (Reg. No. 46,819)  
Attorneys for Applicant  
Kimberley A. Gavin (Reg. No. 51,723)  
Agent for Applicant  
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